Date 5/9/01

EXPRESS MAIL CERTIFICATE

et 853601896 - us

In hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC₄20231/pby "Express Mail Post Office to Addressee" service

A. Javis

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Customer No.:

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Docket No: 3109/1G960 US1

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCU-

MENT TO OUR DEPOSIT ACCOUNT NO. 04-0100



805 Third Avenue New York, New York 10022 212-527-7700

Box PATENT APPLICATION Assistant Commissioner for Patents Washington, DC 20231

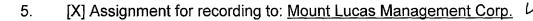
Sir:

Enclosed please find an application for United States patent as identified below:

Inventor/s (name ALL inventors): Roger ALCALY; Timothy J. RUDDEROW; and Frank L. VANNERSON

<u>Title</u>: A METHOD AND SYSTEM FOR GENERATING AN INDEX OF INVESTMENT RETURNS

- including the items indicated:
 - 1. Specification and <u>33</u> claims: <u>16</u> indep.; <u>17</u> dep.; <u>multiple dep.</u>; including <u>32</u> page(s) of written description; <u>18</u> page(s) of claims; <u>1 page(s)</u> of abstract.
 - 2. [X] Drawings, 3 sheets (Figs. 1-3)
 - 3. **[X]** Executed Declaration/Power of Attorney [] Unexecuted Declaration/Power of Attorney
 - 4. [] Application Data Sheet under 37 C.F.R. §1.76.



- [] Assignment was recorded at Reel No., Frame No., on .
- [X] Pursuant to 37 C.F.R. §1.215(b), please print the following assignment information on the face of the published application:

Assignee: Mount Lucas Management Corp.

- 6. [X] The applicant claims small entity status.
- 7. [X] Priority is claimed under 35 U.S.C. §119(b) of:

Country:

USA

Number:

60/202,790

Date:

May 9, 2000

A certified copy of the prior document [] is enclosed [] will follow [X] was filed in a previous application.

8. [] Priority is claimed under 35 U.S.C. §119(e) of:

Number:

Date:

A certified copy of the prior document [] is enclosed [] will follow [] was filed in a previous application.

- 9. [] Request and Certification under 35 U.S.C. §122(b)(2)(B)(i) for Nonpublication.
- 10. [X] Payment in amount of \$1032.00, (\$992 filing; \$40 recording)

in the form of

[X] check

[] deposit account no. 04-0100

[] credit card (see attached form)

(See attached Fee Computation Sheet)

11. [] Preliminary Amendment

- 12. [] Information Disclosure Statement
- 13. [] Nucleotide or Amino Acid Sequence Listing.

[] Hard Copy

[] CRF

Date: May 9, 2001

Respectfully submitted,

harch Benevan

Chanah Brenenson

Reg. No. 47,442

Attorney for Applicant(s)

Docket No.: 3109/1G960 US1

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee				\$710.00
Total Claims	33 - 20	- 0 = 13	x \$18.00	\$234.00
Independent Claims	16 - 3	- 0 = 13	x \$80.00	\$1040.00
O Multiple Depende T	nt Claims	- if so, add	\$270.00	\$0.00
U U Surcharge for la	te submission of fil	ing fee and/or decl	aration (\$130.00)	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00)				\$1984.00
[X] Small Entity REDUCTION (Half of Subtotal)				\$992.00
Fee for recordation of assignment (\$40.00)				\$40.00
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL				\$1032.00

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